UTILITY Attorney Docket No. 241473US2 PATENT APPLICATION First Inventor or Application Identifier Hiroaki HAZAMA TRANSMITTAL A SEMICONDUCTOR MEMORY DEVICE HAVING A GATE INSULATION FILM AND A (Only for new nonprovisional applications under 37 CFR 1.53(b)) MANUFACTURING METHOD THEREOF Assignee Name: Kabushiki Kaisha Toshiba Assignee Address: 1-1-1, Shibaura, Minato-ku, Tokyo, Japan Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Mail Stop Patent Application See MPEP chapter 600 concerning utility patent application contents Alexandria, Virginia 22313 Fee Transmittal Form (e.g. PTO/SB/17) ACCOMPANYING APPLICATION PARTS (Submit an original and a duplicate for fee processing) 7. Assignment Papers (cover sheet & document(s)) 2. Specification **Total Sheets** Application Data Sheet. See 37 CFR 1.76 16 37 C.F.R. §3.73(b) Statement Power of (when there is an assignee) Attorney 3. Drawing(s) (35 U.S.C. 113) Total Sheets 14 Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations (4) 4. Oath or Declaration Total Pages 12. Preliminary Amendment ☐ Newly executed (original or copy) 13. White Advance Serial No. Postcard Copy from a prior application (37 C.F.R. §1.63(d)) Certified Copy of Priority Document(s) (1) h (for continuation/divisional with box 17 completed) (if foreign priority is claimed) DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in Applicant claims small entity status. 15. the prior application, see 37 C.F.R. §1.63(d)(2) and See 37 CFR 1.27 16. Other: CD-ROM or CD-R in duplicate, large table or Computer Request for Priority, Statement of Relevancy 5. Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission 6. (if applicable, all necessary) ☐ Computer Readable Form (CRF) b. Specification or Sequence Listing on : i. CD-ROM or CD-R (2 copies); or ii. 🔲 Paper c. Statements verifying identity of above copies 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: Continuation Divisional ☐ Continuation-in-part (CIP) of prior application no .: Prior application information: Examiner: Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 18. Amend the specification by inserting before the first line the sentence: ☐ This application is a ☐ Continuation □ Division ☐ Continuation-in-part (CIP) of application Serial No. Filed on ☐ This application claims priority of provisional application Serial No. Filed 19. CORRESPONDENCE ADDRESS



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241473US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

VENTOR(S)

Hiroaki HAZAMA

SERIAL NO:

New Application

FILING DATE: Herewith

FOR:

A SEMICONDUCTOR MEMORY DEVICE HAVING A GATE INSULATION FILM AND A

MANUFACTURING METHOD THEREOF

FEE TRANSMITTAL

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

FOR	NUMBER FILED			NUMBER EXTRA	RATE			CALCULATIONS
TOTAL CLAIMS	33 -	20	=	13	х	\$18	=	\$234.00
INDEPENDENT CLAIMS	3 -	3	=	0	х	\$84	=	\$0.00
☐ MULTIPLE DEPENDENT CLAIMS (If applicable)							=	\$0.00
LATE FILING OF DECLARATION						\$130	=	\$130.00
	\$750.00							
	\$1,114.00							
☐ REDUCTION BY 50% FOR FILING BY SMALL ENTITY								\$0.00
☐ FILING IN NON-ENGLISH LANGUAGE						\$130	=	\$0.00
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- ☐ Please charge Deposit Account No. <u>15-0030</u> in the amount of \$0.00 A duplicate copy of this sheet is enclosed.
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- The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to Deposit Account No. <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

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